CERTIFICATION OF ENROLLMENT

SUBSTITUTE SENATE BILL 5501

Chapter 300, Laws of 2009

61st Legislature 2009 Regular Session

HEALTH INFORMATION--PATIENT ACCESS--STANDARDS DEVELOPMENT

EFFECTIVE DATE: 07/26/09

Passed by the Senate April 20, 2009 CERTIFICATE YEAS 45 NAYS 0 I, Thomas Hoemann, Secretary of the Senate of the State of Washington, do hereby certify that BRAD OWEN the attached is SUBSTITUTE SENATE President of the Senate BILL 5501 as passed by the Senate and the House of Representatives Passed by the House April 14, 2009 YEAS 96 NAYS 0 on the dates hereon set forth. THOMAS HOEMANN FRANK CHOPP Secretary Speaker of the House of Representatives Approved April 30, 2009, 11:13 a.m. FILED May 1, 2009

> Secretary of State State of Washington

CHRISTINE GREGOIRE

Governor of the State of Washington

SUBSTITUTE SENATE BILL 5501

AS AMENDED BY THE HOUSE

Passed Legislature - 2009 Regular Session

State of Washington 61st Legislature 2009 Regular Session

By Senate Ways & Means (originally sponsored by Senators Keiser, Pflug, Franklin, Parlette, Murray, and Kohl-Welles)

READ FIRST TIME 03/02/09.

- 1 AN ACT Relating to the secure exchange of health information;
- 2 adding new sections to chapter 41.05 RCW; and creating a new section.
- 3 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 4 NEW SECTION. **Sec. 1.** The legislature finds that:
- 5 (1) The inability to securely share critical health information 6 between practitioners inhibits the delivery of safe, efficient care, as 7 evidenced by:
- 7 evidenced by:
- 8 (a) Adverse drug events that result in an average of seven hundred 9 seventy thousand injuries and deaths each year; and
- 10 (b) Duplicative services that add to costs and jeopardize patient 11 well-being;
- 12 (2) Consumers are unable to act as fully informed participants in 13 their care unless they have ready access to their own health 14 information;
- 15 (3) The blue ribbon commission on health care costs and access 16 found that the development of a system to provide electronic access to 17 patient information anywhere in the state was a key to improving health 18 care; and

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- 1 (4) In 2005, the legislature established a health information 2 infrastructure advisory board to develop a strategy for the adoption 3 and use of health information technologies that are consistent with 4 emerging national standards and promote interoperability of health 5 information systems.
- NEW SECTION. Sec. 2. A new section is added to chapter 41.05 RCW to read as follows:
- 8 The definitions in this section apply throughout sections 3 through 9 5 of this act unless the context clearly requires otherwise.
- 10 (1) "Administrator" means the administrator of the state health 11 care authority under this chapter.
 - (2) "Exchange" means the methods or medium by which health care information may be electronically and securely exchanged among authorized providers, payors, and patients within Washington state.
- 15 (3) "Health care provider" or "provider" has the same meaning as in RCW 48.43.005.
 - (4) "Health data provider" means an organization that is a primary source for health-related data for Washington residents, including but not limited to:
- 20 (a) The children's health immunizations linkages and development 21 profile immunization registry provided by the department of health 22 pursuant to chapter 43.70 RCW;
- 23 (b) Commercial laboratories providing medical laboratory testing 24 results;
- 25 (c) Prescription drugs clearinghouses, such as the national patient 26 health information network; and
 - (d) Diagnostic imaging centers.
- 28 (5) "Lead organization" means a private sector organization or 29 organizations designated by the administrator to lead development of 30 processes, guidelines, and standards under this act.
- 31 (6) "Payor" means public purchasers, as defined in this section, 32 carriers licensed under chapters 48.20, 48.21, 48.44, 48.46, and 48.62 33 RCW, and the Washington state health insurance pool established in 34 chapter 48.41 RCW.
- 35 (7) "Public purchaser" means the department of social and health 36 services, the department of labor and industries, and the health care 37 authority.

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- 1 (8) "Secretary" means the secretary of the department of health.
- NEW SECTION. Sec. 3. A new section is added to chapter 41.05 RCW to read as follows:
- 4 (1) By August 1, 2009, the administrator shall designate one or 5 more lead organizations to coordinate development of processes, 6 quidelines, and standards to:
- 7 (a) Improve patient access to and control of their own health care 8 information and thereby enable their active participation in their own 9 care; and
- 10 (b) Implement methods for the secure exchange of clinical data as 11 a means to promote:
 - (i) Continuity of care;
- 13 (ii) Quality of care;

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- 14 (iii) Patient safety; and
- 15 (iv) Efficiency in medical practices.
- 16 (2) The lead organization designated by the administrator under 17 this section shall:
- 18 (a) Be representative of health care privacy advocates, providers, 19 and payors across the state;
- 20 (b) Have expertise and knowledge in the major disciplines related 21 to the secure exchange of health data;
 - (c) Be able to support the costs of its work without recourse to state funding. The administrator and the lead organization are authorized and encouraged to seek federal funds, including funds from the federal American recovery and reinvestment act, as well as solicit, receive, contract for, collect, and hold grants, donations, and gifts to support the implementation of this section and section 4 of this act;
- 29 (d) In collaboration with the administrator, identify and convene 30 work groups, as needed, to accomplish the goals of this section and 31 section 4 of this act;
- 32 (e) Conduct outreach and communication efforts to maximize the 33 adoption of the guidelines, standards, and processes developed by the 34 lead organization;
- 35 (f) Submit regular updates to the administrator on the progress 36 implementing the requirements of this section and section 4 of this 37 act; and

- 1 (g) With the administrator, report to the legislature December 1, 2009, and on December 1st of each year through December 1, 2012, on progress made, the time necessary for completing tasks, and identification of future tasks that should be prioritized for the next improvement cycle.
- 6 (3) Within available funds as specified in subsection (2)(c) of this section, the administrator shall:
 - (a) Participate in and review the work and progress of the lead organization, including the establishment and operation of work groups for this section and section 4 of this act; and
- 11 (b) Consult with the office of the attorney general to determine 12 whether:
- (i) An antitrust safe harbor is necessary to enable licensed carriers and providers to develop common rules and standards; and, if necessary, take steps, such as implementing rules or requesting legislation, to establish a safe harbor; and
- (ii) Legislation is needed to limit provider liability if their health records are missing health information despite their participation in the exchange of health information.
- 20 (4) The lead organization or organizations shall take steps to 21 minimize the costs that implementation of the processes, guidelines, 22 and standards may have on participating entities, including providers.
- NEW SECTION. Sec. 4. A new section is added to chapter 41.05 RCW to read as follows:
- By December 1, 2011, the lead organization shall, consistent with the federal health insurance portability and accountability act, develop processes, guidelines, and standards that address:
- 28 (1) Identification and prioritization of high value health data 29 from health data providers. High value health data include:
 - (a) Prescriptions;
 - (b) Immunization records;
 - (c) Laboratory results;
- 33 (d) Allergies; and

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- 34 (e) Diagnostic imaging;
- 35 (2) Processes to request, submit, and receive data;
- 36 (3) Data security, including:
- 37 (a) Storage, access, encryption, and password protection;

- 1 (b) Secure methods for accepting and responding to requests for 2 data;
 - (c) Handling unauthorized access to or disclosure of individually identifiable patient health information, including penalties for unauthorized disclosure; and
 - (d) Authentication of individuals, including patients and providers, when requesting access to health information, and maintenance of a permanent audit trail of such requests, including:
 - (i) Identification of the party making the request;
 - (ii) The data elements reported; and
- 11 (iii) Transaction dates;

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- 12 (4) Materials written in plain language that explain the exchange 13 of health information and how patients can effectively manage such 14 information, including the use of online tools for that purpose;
- 15 (5) Materials for health care providers that explain the exchange 16 of health information and the secure management of such information.
- NEW SECTION. **Sec. 5.** A new section is added to chapter 41.05 RCW to read as follows:
- 19 If any provision in sections 2 through 4 of this act conflicts with 20 existing or new federal requirements, the administrator shall recommend 21 modifications, as needed, to assure compliance with the aims of 22 sections 2 through 4 of this act and federal requirements.

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Passed by the Senate April 20, 2009. Passed by the House April 14, 2009. Approved by the Governor April 30, 2009. Filed in Office of Secretary of State May 1, 2009.